



# Peppol

The future is open

## Revised PEPPOL Authority Agreement

Discussion with PEPPOL Authority Community  
October 16, 2019

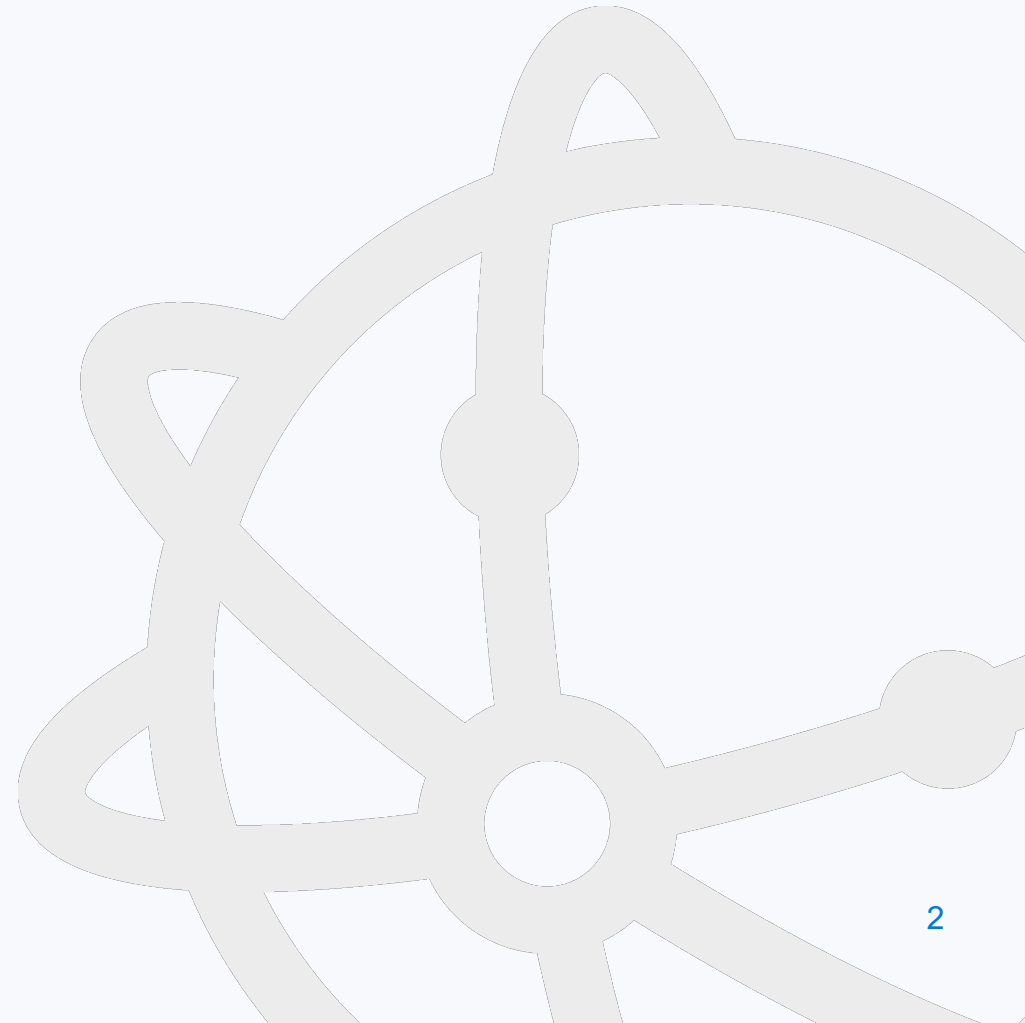
Jostein Frømyr, PEPPOL Agreement Coordinator

[www.peppol.eu](http://www.peppol.eu)

PEPPOL is owned by OpenPEPPOL AISBL

# Presentation outline

- Overall status of the agreement revision project
  - Where are we in the process and what's next
- The revised PEPPOL Authority Agreement
  - Selected highlights & changes
- Expectation on the PEPPOL Authorities



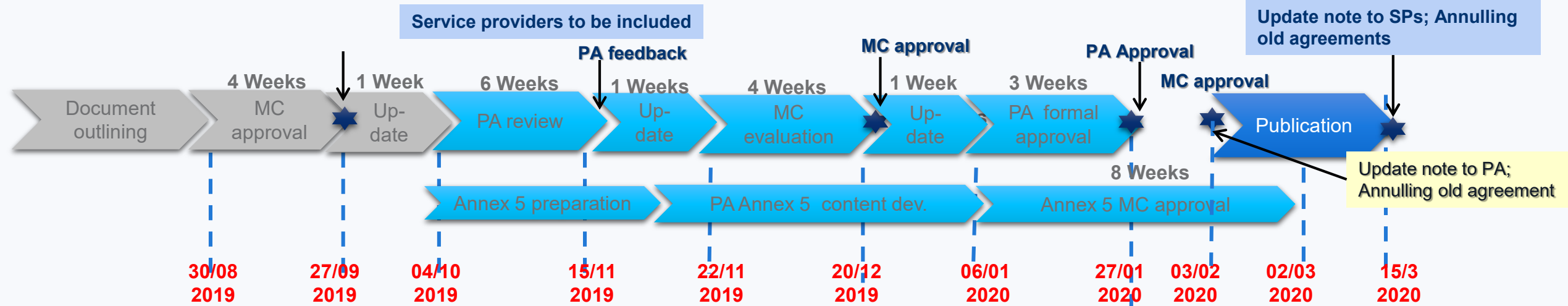
# Overall status

---

- **No significant push-back has materialised on the agreed conceptual model.**
- **Draft agreement documents have been released for [PA review](#)**
  - PEPPOL Authority Agreement, draft 27 of 2019.09.27
  - PEPPOL Service Provider Agreement, draft 16 of 2019.09.27
  - Revised PEPPOL Agreement Framework Review Guide, version 1 of 2019.10.03
  - **Deadline for comments: Friday November 15**
- **OpenPEPPOL OO has initiated work on a number of supporting activities**
  - Documenting the PEPPOL Architecture Framework and its integration in the 4-corner model
  - Working with the Domain Communities to document the List of standards and SLA requirements applicable for each of the business process domains
  - Documenting the change, release and migration management processes
  - Revision of the PEPPOL certificate regime to support the revised Agreement Framework
  - Changes to administrative procedures based on the revised Agreement Framework

# Next steps

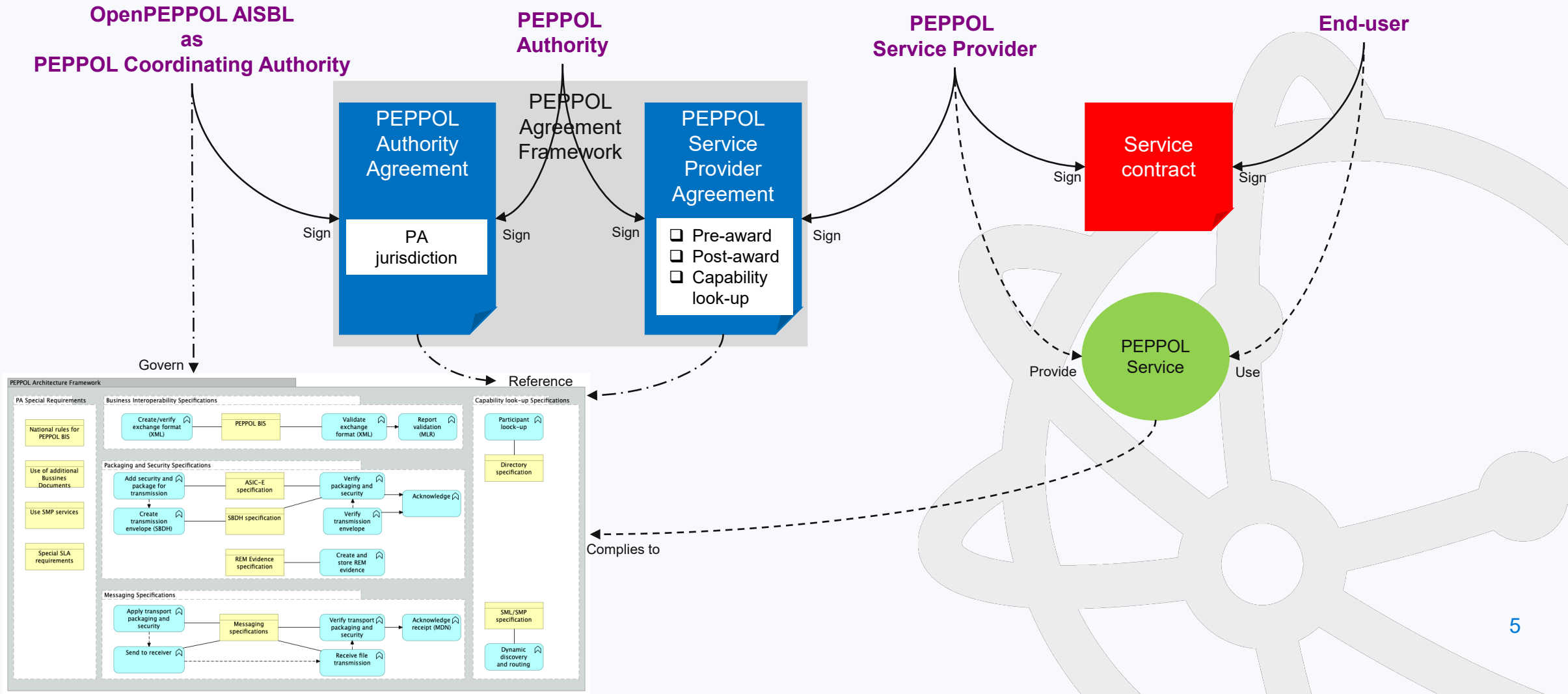
Agreement Support Activities are handled by Operations and the PEPPOL communities in collaboration, and out of scope for the Agreement development Taskforce



When	Who	What
Nov. 15	PAs	Deadline for submission of Review comments
Nov. 15 - 22	Task Team	Update agreement documents based on received comments
Nov. 22 - Dec. 20	MC	Evaluate and agree on final agreement documents
Jan. 6 - 27, 2020	PA	Formal approval of agreement documents
→ Jan. 6, 2020	PA	Documentation of PA Special requirements
Feb. 3, 2020	OO	Publication of new PEPPOL Agreement Framework
Feb. 3, 2020 →	OO	Initiate migration

# Revised PEPPOL Agreement Framework

## The helicopter view



# PEPPOL Authority Agreement

## PEPPOL Authority Agreement will regulate

- the **jurisdiction** (previously called “domain”) within which the PEPPOL Authority will provide governance of the implementation and use of the PEPPOL eDelivery Network
- the **terms and conditions** under which that governance shall be executed
  - Designed to be equally applicable to all PA’s
  - Subject to the agreed OpenPEPPOL Change Management process (§15.1)

PEPPOL Authority Agreement  
Page 3

**1. The Parties**

1.1. This agreement is entered into by and between:

**OpenPEPPOL Association Internationale Sans But Lucratif (AISBL)**  
 Legal identifier: 848 934 496 (register of Legal Entities Brussels)  
 acting in the role as PEPPOL Coordinating Authority (and hereafter referred to by that name),  
 and

<Name of organisation>  
 Legal identifier: <-> (<registry>)  
 acting in the role as PEPPOL Authority (and hereafter referred to by that name) within the jurisdiction defined in clause 2.1.

**2. PEPPOL Authority jurisdiction**

2.1. The PEPPOL Authority has been delegated the authority over the implementation and use of the PEPPOL eDelivery Network within the following jurisdiction:

**3. Annexes to the Agreement**

3.1. The following annexes form an integral part of the Agreement:


ANNEX 1 – PEPPOL Authority Agreement Terms and Conditions;

**4. Signature**

4.1. The Agreement has been made in two identical copies, one for each Party.

4.2. The Effective Date of the Agreement is <date>.

	For the PEPPOL Coordinating Authority	For the PEPPOL Authority
Name	André Hoddevik	
Position	OpenPEPPOL AISBL Secretary General	
Signature		

 OpenPEPPOL AISBL  
 Rond-point Schuman 6, box 5  
 1040 Brussels, Belgium

Corporate identification number  
 0848.934.496  
 (Register of Legal Entities Brussels)

info@peppol.eu  
 www.peppol.eu

PEPPOL Authority Agreement  
Page 4

**PEPPOL Authority Agreement Terms and Conditions**

The terms and conditions outlined below will be applied equally by the PEPPOL Coordinating Authority towards all PEPPOL Authorities and are subject to the agreed PEPPOL change management procedures as set out in section 8.

**1. Definitions**

1.1. For the purpose of the Agreement, the following definitions shall apply:

1.1.1. PEPPOL eDelivery Network: An infrastructure for exchanging information and business documents between end-users based on an open connection in a 4-corner model governed by the PEPPOL Coordinating Authority.

1.1.2. PEPPOL Coordinating Authority: The organisation having the overall responsibility for governance of the PEPPOL eDelivery Network, including the PEPPOL Interoperability Framework.

1.1.3. PEPPOL Authority: An organisation responsible for the implementation and use of the PEPPOL eDelivery Network within a defined jurisdiction.


1.1.4. PEPPOL Service Provider: An organisation holding the legal responsibility for providing PEPPOL Services to end-users of the PEPPOL eDelivery Network within one or more PEPPOL Service Areas.

1.1.5. PEPPOL Interoperability Framework: The PEPPOL Governance Framework and the PEPPOL Architecture Framework being brought together and supplemented by the PEPPOL Compliance Policy<sup>1</sup>.

1.1.6. PEPPOL Governance Framework: The set of documents defining the overall governance structure for the PEPPOL eDelivery Network, i.e. the OpenPEPPOL Statutes, the OpenPEPPOL Internal Regulations, the PEPPOL Authority Agreement and the PEPPOL Service Provider Agreement<sup>2</sup>.

1.1.7. PEPPOL Architecture Framework: The set of technical standards, specifications and policies applicable within the PEPPOL eDelivery Network in order to provide its end-users with true interoperability, allowing them efficiently and effectively to engage in business collaborations<sup>3</sup>. Through these components, a set of minimum criteria for providing services in the PEPPOL

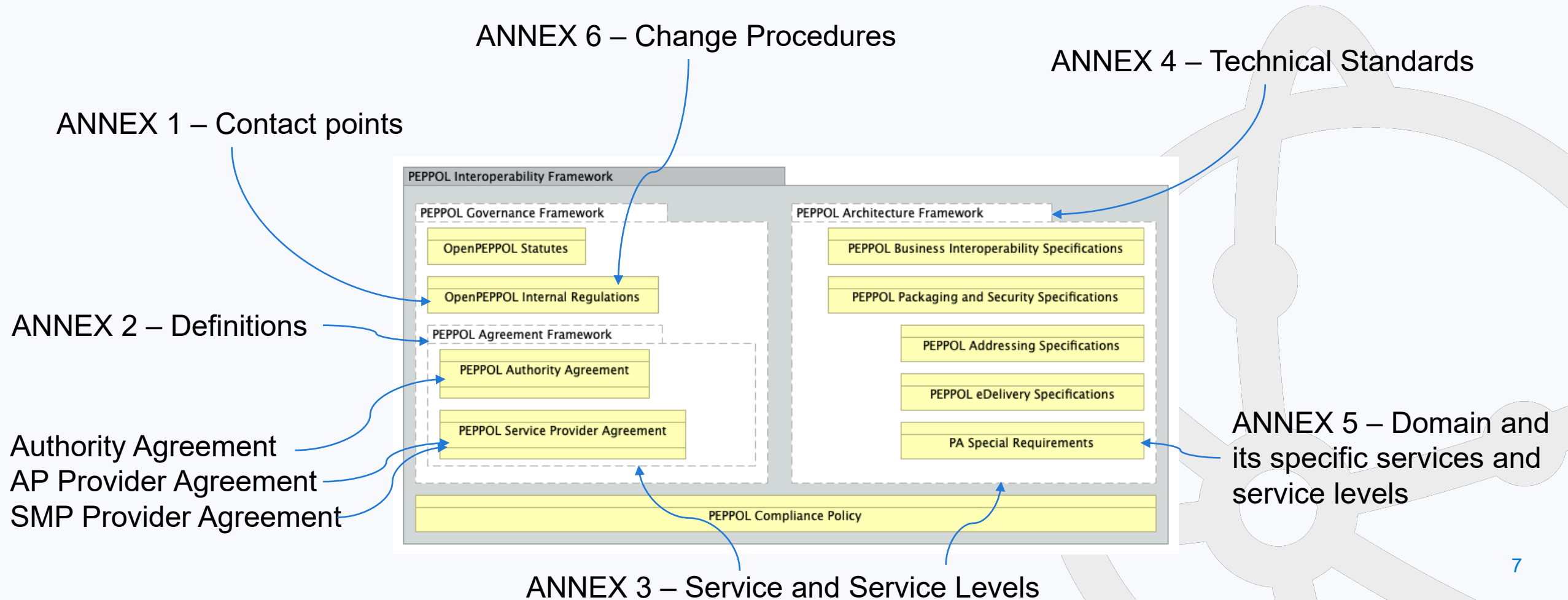
<sup>1</sup> The components of the PEPPOL Interoperability Framework is described at .....  
<sup>2</sup> The components of the PEPPOL Governance Framework is described at .....  
<sup>3</sup> The building blocks of the PEPPOL Architecture Framework is available at .....

 OpenPEPPOL AISBL  
 Rond-point Schuman 6, box 5  
 1040 Brussels, Belgium

Corporate identification number  
 0848.934.496  
 (Register of Legal Entities Brussels)

info@peppol.eu  
 www.peppol.eu

# Revised document structure



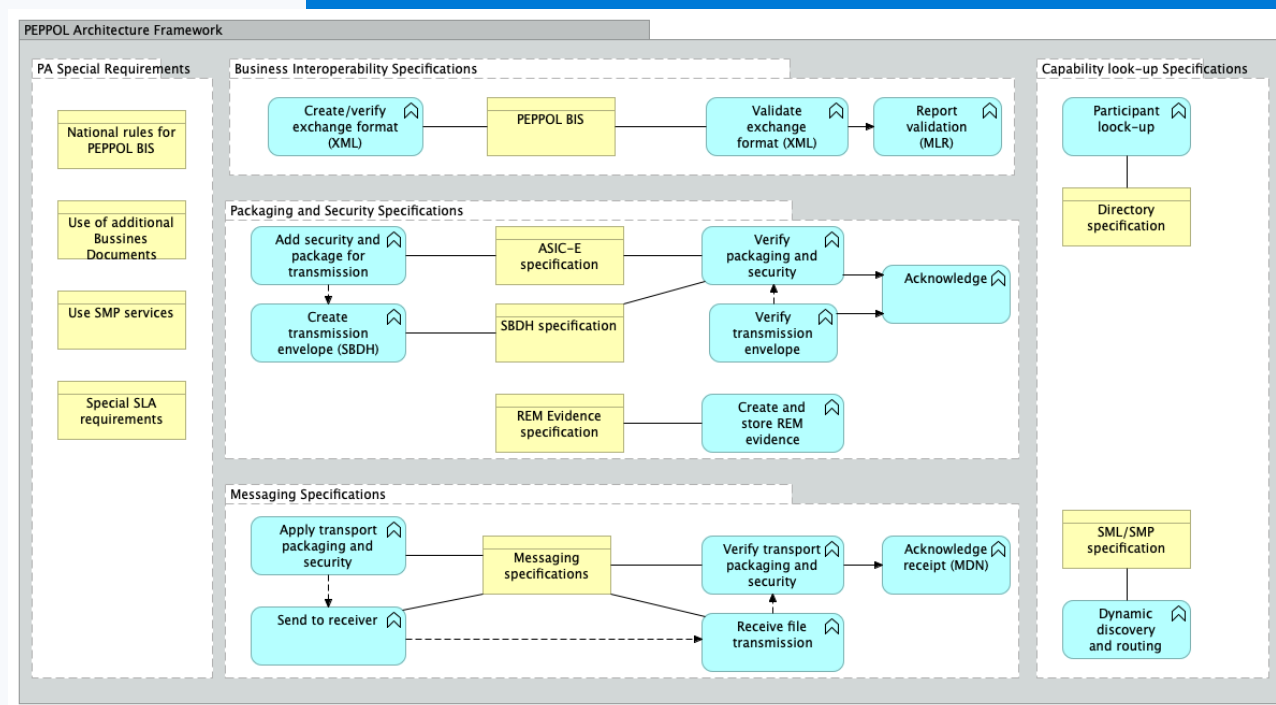


# PA specific requirements

## «Annex 5»

PEPPOL Authorities are given the opportunity to define its **specific requirements** (“Annex 5”- requirements) applicable within its jurisdiction (§6)

- Approval by the OpenPEPPOL MC
- Must respect the PEPPOL Compliance Policy
- Published as part of the PEPPOL Architecture Framework
  - Will thus be equally applicable to all SP’s operating within that jurisdiction independent of who they have signed an agreement with
- Subject to OpenPEPPOL Change Management procedures





# Selected highlights/changes

## Responsibility of the PA

---

- Proactively inform OpenPEPPOL about developments in their jurisdiction that may affect functioning or uptake of the PEPPOL eDelivery network and contribute to resolution (§4.2.2)
- Signe agreements with PEPPOL SPs, including to “... verify the legal identity of the PEPPOL Service Provider and their ability to bear the legal responsibility which are inherent to any PEPPOL Service Provider” (§4.4.3)
- Securing that SPs are informed about relevant activities (§4.2.8)
- Ensure that building blocks of the PEPPOL Architecture Framework are implemented in compliance to legal requirements within their jurisdiction (§4.2.10)
- Protect PEPPOL Services against illicit use, malicious code, etc. using state of the art measures and procedures, including to maintain documentation on how systems are protected and to make this available on request (§5.3)

# Selected highlights/changes (2)

## Use of PEPPOL BIS

---

- Only PEPPOL Business Documents can be used on the PEPPOL eDelivery Network (§7.1)
  - PEPPOL Business Document = PEPPOL BIS + other interoperability specifications approved by the PEPPOL Coordinating Authority for which a PEPPOL Document Identifier has been assigned
- Mandatory support for PEPPOL BIS (§7.2)
  - The PEPPOL Coordinating Authority may grant deviation (§7.3)
- PA may request request business documents other than PEPPOL BIS for use in PEPPOL (§7.4)

# Selected highlights/changes (3)

## Change management

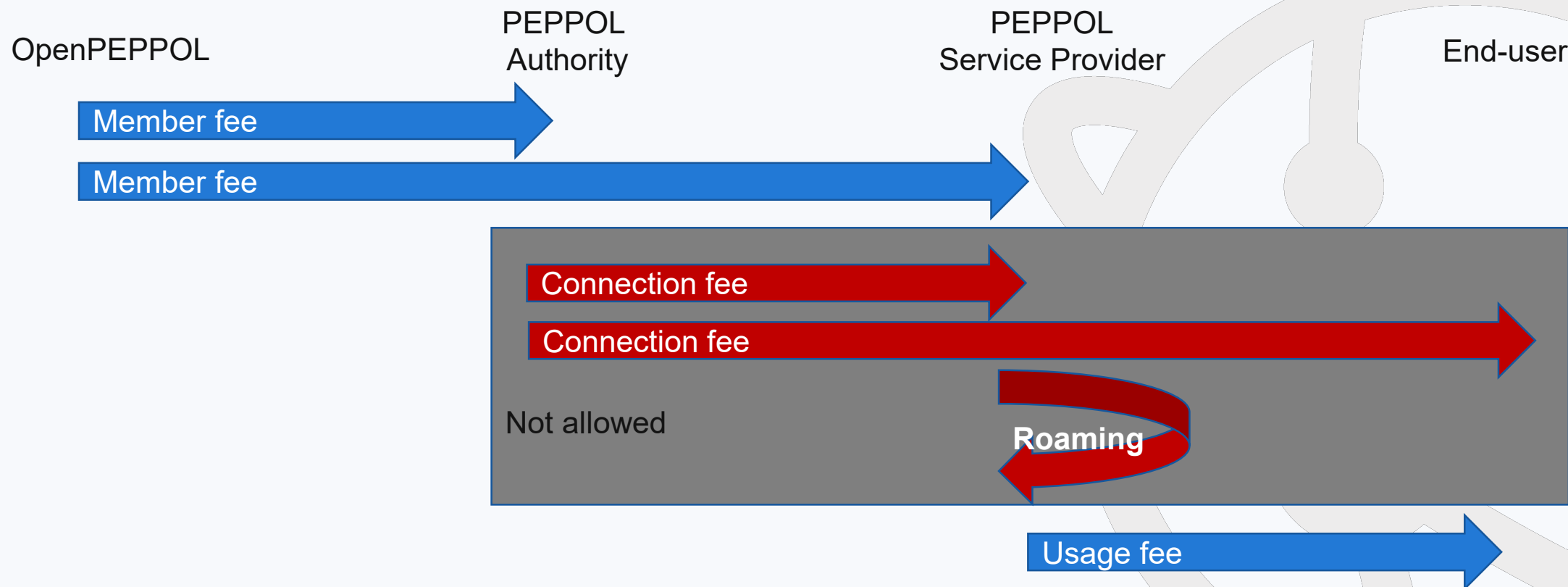
---

- All components of the PEPPOL Interoperability Framework are subject to agreed change management procedures defined as part of Internal regulations (§8.1)
- New version of a component shall be explicitly announced (§8.2)
- New version shall be implemented according to defined migration plan (§8.3)
- If the changed version is not acceptable the Agreement must be terminated (§8.4 & §16.5)

# Selected highlights/changes (4)

## Charges (§9)

- No charges can be applied for responsibilities described in the agreement (other than membership fee)



## Selected highlights/changes (5)

---

- No explicit reference to GDPR due to the global scope of PEPPOL (§12)
- No liability except for “... damage caused by a wilful act or gross negligence” (§13)
- Automatically terminated if no valid membership in OpenPEPPOL (16.4)
- Belgian law applies (17.1)

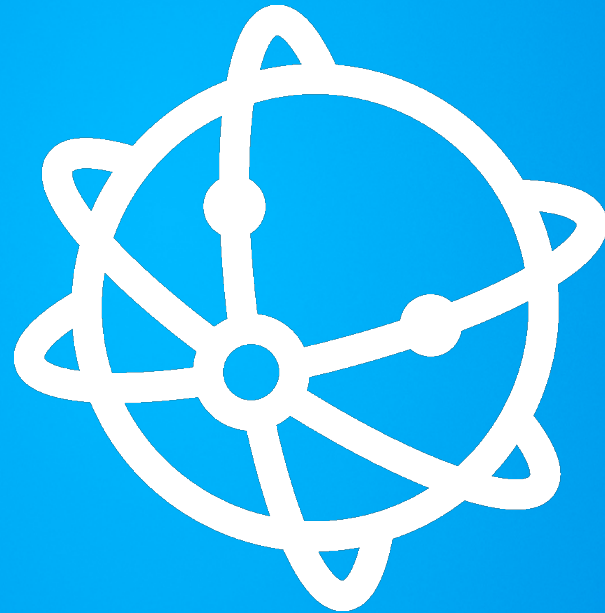
# Expectations on the PEPPOL Authorities

---

- Engage with PEPPOL Service Providers in the review of the draft agreement documents
- Immediately

- Review and provide feedback on the draft agreement documents, including consolidation of comments from SP
- November 15, 2015

- Review and update any special requirements for inclusion in the PEPPOL Architecture Framework
- December 20, 2019



MORE  
INFORMATION

[info@peppol.eu](mailto:info@peppol.eu)  
[www.peppol.eu](http://www.peppol.eu)

[https://openpeppol.atlassian.net/  
wiki/spaces/AF/pages/675053569/  
/PEPPOL+Authorities+Review](https://openpeppol.atlassian.net/wiki/spaces/AF/pages/675053569/PEPPOL+Authorities+Review)

FOLLOW US

